



Relevant regulatory changes and their impact on IT systems must be recognised and implemented in good time. For this purpose, the banks need experts who continuously monitor and analyse the regulatory framework and develop measures with providers.

### Regulatory developments under control at all times.

#### What is regulatory monitoring?

Regulatory monitoring supports banks in recording and analysing the impact of new or amended regulations on their Finnova banking modules, peripheral systems and their processes. The service also includes the development of implementation concepts and the consultation thereof with the banks concerned. In-depth meetings with compliance specialists are offered if requested by customers. Furthermore, the service can be extended to banking processes outsourced to Swisscom (BPO) and other systems. The banks can obtain services that they cannot or do not want to provide themselves and that are complementary to their in-house compliance services.

#### Your benefits with the regulatory monitoring service

- End-to-end service offering related to banking regulatory issues
- Compliance professionals with a deep understanding of infrastructure and processes
- Timely tracking of changes in regulatory projects
- Transparency and traceability of measures
- Central tool with an overview of relevant changes, impacts and measures
- Saving of internal resources (e.g. internal regulatory monitoring, more efficient discussion and decision-making processes).
- Timely implementation regarding the effects of regulatory developments

### Why choose Swisscom?



#### Finnova-Expertise

- With AM Finnova, Swisscom has in-house expertise to assess the impact on Finnova modules
- Involvement and support from Product Management Finnova Ltd.

#### Community-Expertise

- By providing regulatory monitoring for banks, Swisscom knows the needs and requirements of the Finnova community and is able to serve banks with a consolidated, broad-based opinion

#### Compliance-Expertise

- Compliance and financial market consulting at consulting companies
- Implementation of regulation in applications at IT companies
- Compliance in retail and private banking
- Supervision and regulation at FINMA





The information in this document does not constitute a binding offer. We reserve the right to make changes at any time.

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## Facts & figures

 <b>Basic services</b>	Ongoing monitoring of regulatory developments with a focus on Switzerland
	Systematic recording and analysis of amended or new regulations
	Analysis of their impact on Finnova modules and peripheral systems and assessment of the need for action
	Preparation and consultation of implementation proposals and/or measures
	Validation of implementation needs and implementation proposals with suppliers
	Documentation and communication on a central platform
 <b>Additional services</b>	Operation and maintenance of the underlying infrastructure (regulatory monitoring platform)
	Presentation and discussion of the need for action and implementation proposals as well as change requests from the bank in dedicated meetings
	Regulatory monitoring for other banking applications

The service is provided by the Swisscom Banking Compliance unit, which has many years of expertise in the implementation of financial market regulations in the IT environments of banks. This specialist unit acts as a knowledge holder for financial market law requirements and ensures their introduction through coordination with other Swisscom specialist units and software suppliers.

### Contact:

Please find more information about the service and the contact form under the following link:  
[www.swisscom.ch/regmon](http://www.swisscom.ch/regmon)